

# Computer Science 1FC3

## Lab 7 – Recursion

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The purpose of this lab is to implement recursive functions into Maple. We will also investigate iteration invariants and bound values as a means of proving our code.

### RECURSION

Recursion allows us to make very simple and natural definition of functions that would otherwise have very complicated explicit formula. The strategy to determine a recursive function will be very similar to induction; determine the simplest form (the “base case”), and relate the value at some step to the step immediately after it (the “recursive step”). We will demonstrate how recursion works through a series of examples.

#### Example 1 (Factorial)

By now we are all familiar with the factorial function that is defined as:

$$n! = \text{fact}(n) = (n)(n-1)(n-2)\dots(2)(1) \quad \text{where } 0! = \text{fact}(0) = 1$$

To realize the recursive definition we can rewrite the above definition like:

$$\begin{aligned} n! = \text{fact}(n) &= (n) * (n-1) \dots (1) = n * \text{fact}(n-1) \\ \text{fact}(n) &= n * \text{fact}(n-1) \quad \text{where } \text{fact}(0) = 1 \end{aligned}$$

To implement this definition into maple we do the following:

```
(1)      fact := proc(n:integer)
(2)          if (n=0) then
(3)              1;
(4)          else
(5)              n*(fact(n-1));
(6)          end if;
(7)      end;
```

- (1) Indicates to maple that we would like to define a function and limits  $n$  to an integer.
- (2) Defines  $\text{fact}(0) = 1$ .
- (4) Defines  $\text{fact}(n) = n * \text{fact}(n-1)$ , that is, it relates the  $n$ th step to the  $(n-1)$ th step.
- (5) Ends the procedure.

#### Question 1:

What happens if we take out line (3) from the above function?

#### Question 2:

What is  $\text{fact}(-7)$ ? What is  $\text{fact}$  of any negative number? Why is this okay?

## Example 2 (squaring a number)

We would like to square a number without using multiplication. That is we would like to define

$$\text{square}(n) = n * n$$

using addition.

The first thing we realize is that  $\text{square}(n) = \text{square}(-n)$  which means our function needs definition on the positives integers only. Since the smallest positive integer is 0,  $\text{square}(0) = 0$  would be our base case.

Now the difficult part is relating  $\text{square}(n-1)$  to  $\text{square}(n)$ . Well, with a little mathematical investigation we realize that

$$\begin{aligned} (n+1)^2 &= n^2 + 2n + 1 \text{ or} \\ \text{square}(n+1) &= \text{square}(n) + n + n + 1 \text{ or} \\ \text{square}(n) &= \text{square}(n-1) + (n-1) + (n-1) + 1 \end{aligned}$$

So breaking down this definition we have:

$$\begin{aligned} \text{square}(0) &= 0 \\ \text{square}(n) &= \text{square}(-n) && \text{when } n \text{ negative} \\ \text{square}(n) &= \text{square}(n-1) + n + n - 1 && \text{when } n \text{ positive} \end{aligned}$$

The maple implementation would be:

```
(1) square:=proc(n:integer)
(2)     if (n<0) then
(3)         sqaure(-n);
(4)     elif (n=0) then
(5)         0;
(6)     else
(7)         square(n-1)+n+n-1;
(8)     end if;
(9) end:
```

First note that `elif` is a Maple abbreviation for `else if`. An English translation of (2) - (8) could be:

```
if n is negative then do square(-n)
otherwise if n is equal to zero then the answer is zero.
otherwise if n is positive then the answer is square(n-1)+n+n-1.
```

*Question 3:*

What happens if we take out line (2) from the above definition?

*Question 4:*

What happens if we take out line (4) from the above definition?

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## PROGRAM CORRECTNESS

To prove that a program is correct we must do two things: (1) show that the function will eventually stop and give you a result (2) show that this result is the correct result. When we do this we say that we have *verified* the code.

### EXAMPLE 3 (verifying square)

We will now show that `square` always returns the correct answer. Well first we ask ourselves what it is that we want `square` to do, well simply put  $\text{square}(n) = n * n$ . So it is now only necessary to check this against all cases outline in the procedure.

Case ( $n < 0$ )		<code>=square(-n)</code>
	defintion	<code>=square(n)</code>
	check LHS	$= (-n) * (-n) = n * n$
	check RHS	$= n * n$
Case ( $n = 0$ )		<code>=square(0)</code>
	definition	<code>=0</code>
	check LHS	$= 0 * 0$
	check RHS	<code>=0</code>
Case ( $n > 0$ )		<code>=square(n)</code>
	defintion	<code>=square(n-1) + n + n - 1</code>
	check LHS	$= n * n$
	check RHS	$= (n-1) (n-1) + n + n - 1 = n * n - 2n + 1 + 2n - 1 = n * n$

When we say that `square(n)` should be equal to  $n * n$  we call  $n * n$  the iteration invariant.

To show that this definition terminates all we have to do is show that there is some decreasing non-negative number that bounds the number of times the function executes. Well since for given input  $n$  our procedure will run  $|n| + 2$  times (including zero). This means the bound value is clearly  $|n| + 2$  where  $n$  is the number being squared.

*Question 5:*

Why can we claim that at each step the value of  $n$  is non-negative and decreasing.

*Question 6:*

Why can we claim that if the number of times a loop executes is non-negative and decreasing that it eventually the loop will halt?

#### Example 4 (verifying another factorial)

To show that this verification is not always trivial or obvious we will define another recursive factorial function as follows:

```
(1) fact_iter := proc(c,p)
(2)   if (evalb(c=0)) then
(3)     p;
(4)   else
(5)     fact_iter(c-1,p*c);
(6)   end if;
(7) end:
(8) fact2:=n->fact_iter(n,1)
```

#### Question 7:

What is `fact2(3)` and `fact2(5)`? Do these cases yield correct results?

#### Question 8:

What does `c` do in this function? If `c=n` originally, at any step, what is the relation of `c` and `p` to the desired value of `n!`

We first claim that in order for `fact2` to be a valid definition of factorial `fact_iter` must be a valid valid defintion of factorial also. In order for `fact_iter` to give the factorial at each step its iteration invariant must be is  $c! * p$ .

Case (n=0)		=fact_iter(0,1)	
	definition	=1	(by line (3) in fact_iter)
	check LHS	=0!*1=1	
	check RHS	=1	
Case (n>0)		=fact_iter(n,1)	
	definition	=fact_iter(n-1,1*n)	(by line (5) in fact_iter)
	check LHS	=n!*1	
		=n!	
	check RHS	=(n-1)!*n	
		=n!	

And since `fact(2)=fact_iter(n,1)=n!*1=n!` we claim that `fact2` also gives the correct results.

**Problem Set:**

Question 1:

What is the bound value for the factorial procedure given in Example 4? What can we now claim about this procedure.

Question 2:

Let  $a$  and  $b$  non-negative integers, define `mult(a, b)` be a recursive function that returns  $a*b$  using only addition.

- a) What is the base case?
- b) What is the recursive step?
- c) Implement this routine in Maple
- d) What is this algorithm's iteration invariant?
- e) What is this algorithm's bound value?
- f) What can we conclude from d) and e)

Question 3:

Let  $a$  and  $b$  non-negative integers, define `pow(a, b)` be a recursive function that returns  $a^b$  using only multiplication.

Repeat steps a) – f) as given in Question 2:

Question 4:

Give a recursive definition for `dot_product` that calculates the dot product of two vectors (given as Maple lists). Recall that `dot_product([a, b, c], [e, f, g]) = a*e + b*f + c*g`.